

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address. COMMISSIONER FOR PATIENTS P.O. Box 1429 Alexandria, Vigunia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

590

11/06/2003

JONATHAN D. BASKIN EDWARDS & ANGELL, LLP P.O. BOX 9169 BOSTON, MA 02110 EXAMINER
ABRAMS, NEIL
ART UNIT PAPER NUMBER

2839 DATE MAILED: 11/06/2003

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/615.101	07/13/2000	David W Sherrer	ACT-120	4072

TITLE OF INVENTION: 2-DIMENSIONAL OPTICAL FIBER ARRAY MADE FROM ETCHED STICKS HAVING NOTCHES

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	02/06/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATEN PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHT THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPO PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM TH MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. TH STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOV REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (O AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILD BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is n claiming SMALL ENTITY status, check the box below and enclothe PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) w your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 4

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required, Blocks I through 4 should be completed with appropriate All Interner correspondence including the Patent advance orders and notification or maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" maintenance fee unifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

11/06/2003

JONATHAN D. BASKIN EDWARDS & ANGELL, LLP P.O. BOX 9169 BOSTON, MA 02110

4a. The following fee(s) are enclosed:

Note: A certificate of mailing can only be used for domestic mailings of Fec(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fec(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being faesim transmitted to the USPTO, on the date indicated below.

(Depositor's na (Signat (D

☐ individual ☐ corporation or other private group entity ☐ governm

APPLICATION NO FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO CONFIRMATION NO. 09/615 101 07/13/2000 David W. Sherrer ACT-120 4072

TITLE OF INVENTION: 2-DIMENSIONAL OPTICAL FIBER ARRAY MADE FROM ETCHED STICKS HAVING NOTCHES

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nonprovisional	YES	\$665		\$0	\$665	02/06/2004	
EXAM	MINER	ART UNI	Т	CLASS-SUBCLASS	]		
ABRAN	MS, NEIL	2839		385-137000	-		
Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence)				inting on the patent front page, f up to 3 registered patent a R, alternatively, (2) the name	ttorneys or 1		
Address form PTO/SB/122) attached.				ring as a member a registered ad the names of up to 2 registered			
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.				or agents. If no name is liste		3	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

Please check the appropriate assignee category or categories (will not be printed on the patent);

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

4b. Payment of Fee(s):

☐ ISSUE FCE	A check in the am	ount of the fee(s) is enclosed.			
☐ Publication Fee	card. Form PTO-2038 is attached.				
☐ Advance Order - # of Copies	☐ The Director is h Deposit Account Nu	☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number (enclose an extra copy of this form).			
Director for Patents is requested to apply the Issue F	ee and Publication Fee (if any) or to re-apply	any previously paid issue fee to the application identified above.			
(Authorized Signature)	(Datc)				
NOTE: The Issue Fee and Publication Fee (if re other than the applicant; a registered attorney or interest as shown by the records of the United State	agent; or the assignce or other party in				
This collection of information is required by 37 to obtain or retain a benefit by the public which is application. Confidentiality is governed by 35 US completed application form to the USPTO. Time case. Any comments on the amount of time y auggestrons for reducing this burden, should be s for reducing this burden, should be s 123 13-1450. DO NOT SEND FEES OR COM SEND TO: Commissioner for Patents, Alexandria,	to file (and by the USPTO to process) and C 122 and 37 CPR 1.14. This collection is g gathering, preparing, and submitting the will vary depending upon the individual our require to complete this form and/or ent to the Chief Information Officer, User to Gommerce, Alexandria, Virginia PLETED FORMS TO THIS ADDRESS.				
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Dex 1450 Alexandra, Virginia 22313-1450 www.uspid.gov

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/615,101	07/13/2000	David W. Sherrer	ACT-120	4072
75	90 11/06/2003		EXAM	INER
ONATHAN D. I			ABRAM	S, NEIL
EDWARDS & AN P.O. BOX 9169	GELL, LLP		ART UNIT	PAPER NUMBER
BOSTON, MA 021	10		2839	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after t mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a ha months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date th determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retriev (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/615,101	07/13/2000	David W. Shorrer	ACT-120	4072
	7590 11/06/2003		EXAM	INER
JONATHAN I	D. BASKIN		ABRAM	S, NEIL
EDWARDS & A	ANGELL, LLP			
P.O. BOX 9169			ART UNIT	PAPER NUMBER
BOSTON, MA	02110		2839	

DATE MAILED: 11/06/2003

#### Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then t amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fe Reg. 41532, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in vie of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processi delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowan is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), t issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and t response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to t issue fee now due, then the difference between the issue fee amount at the time the response is filed and t previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eigh Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:
By a small entity (Sec. 1.27(a))......\$320.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of t Office of Patent Publication at (703) 305-8283.